# Conformal Field Theory and Gravity

### Solutions to Problem Set 9

Fall 2024

### 1. Basics of 2D CFT

(a) By symmetry, we can assume that all indices are ordered, so there are at most  $\ell + 1$  components  $M_{11...1}$ ,  $M_{11...12}$ , ...,  $M_{2...2}$ . Tracelessness implies that

$$\delta_{\mu\nu}M_{\mu\nu\alpha\dots\beta} = M_{11\alpha\dots\beta} + M_{22\alpha\dots\beta} = 0 \tag{1}$$

hence we can eliminate any pair of (11) indices in favor of a pair of (22) indices. Thus any tensor with spin  $\ell \geq 2$  has 2 independent components:  $M_{122...2}$  and  $M_{2...2}$  (the exception is  $\ell = 0$ , which has a single component).

We still need to show that  $M_{z...z} := M$  and  $M_{\bar{z}...\bar{z}} := \bar{M}$  are independent. In fact, we can simply show that all other components vanish. This is again due to tracelessness. In the z,  $\bar{z}$  coordinates, the flat-space metric reads  $ds^2 = dzd\bar{z}$ , so the components of the metric are

$$g_{z\bar{z}} = g_{\bar{z}z} = \frac{1}{2}, \quad g_{zz} = g_{\bar{z}\bar{z}} = 0$$
 (2)

and the inverse metric satisfies  $g^{zz}=g^{\bar{z}\bar{z}}=0, g^{z\bar{z}}=g^{\bar{z}z}=2$ . Thus

$$0 = g^{\mu\nu} M_{\mu\nu\alpha\dots\beta} = 4M_{z\bar{z}\alpha\dots\beta} \tag{3}$$

for any indices  $\alpha, \ldots, \beta$ . In conclusion, the only non-zero components of any traceless symmetric tensor are M and  $\bar{M}$ . Finally, using the Jacobian, we find that

$$M_{12...2} = i^{\ell-1} \left( M + (-1)^{\ell-1} \bar{M} \right) \quad \text{and} \quad M_{2...2} = i^{\ell} \left( M + (-1)^{\ell} \bar{M} \right).$$
 (4)

Conservation of a tensor means that

$$0 = g^{\mu\nu} \partial_{\mu} M_{\nu\alpha...\beta} = 2 \left( \partial \bar{M}_{\alpha...\beta} + \bar{\partial} M_{\alpha...\beta} \right)$$
 (5)

using the shorthand notation  $\partial = \partial/\partial z$ ,  $\bar{\partial} = \partial/\partial \bar{z}$ . In particular, by setting all coordinates either equal to z or to  $\bar{z}$ , we find that

$$\bar{\partial}M = 0, \quad \partial\bar{M} = 0.$$
 (6)

In other words, the component M depends only on z, and  $\bar{M}$  only depends on  $\bar{z}$ . Under a finite rotation R, a tensor transforms as

$$T^{\mu...\nu}(x) \mapsto R^{\mu'}_{\mu} \dots R^{\nu'}_{\nu} T^{\mu'...\nu'}(x').$$
 (7)

In the z,  $\bar{z}$  coordinates, a rotation by an angle  $\theta$  can be represented as

$$R^{\mu}_{\nu} = \begin{pmatrix} e^{i\theta} & 0\\ 0 & e^{-i\theta} \end{pmatrix}. \tag{8}$$

Thus

$$M(z,\bar{z}) \mapsto e^{i\ell\theta} M(z',\bar{z}'), \quad \bar{M}(z,\bar{z}) \mapsto e^{-i\ell\theta} \bar{M}(z',\bar{z}'),$$
 (9)

with  $z' = e^{i\theta}z$ ,  $\bar{z}' = e^{-i\theta}\bar{z}$ .

Finally, in the  $z, \bar{z}$  coordinates, parity  $(y \mapsto -y)$  acts as

$$P^{\mu}_{\nu} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},\tag{10}$$

so that (up to an intrinsic parity  $\eta_M = \pm 1$ ),

$$M(z,\bar{z}) \mapsto \bar{M}(\bar{z},z), \quad \bar{M}(z,\bar{z}) \mapsto M(\bar{z},z).$$
 (11)

## (b) We can start from the known 2-pt function

$$\langle J_{\mu}(x)J_{\nu}(y)\rangle = k_J \frac{I_{\mu\nu}(x-y)}{|x-y|^{2\Delta}}$$
(12)

for some constant  $k_J > 0$ , where for conserved currents, obviously  $\Delta = d - 1 = 1$ . We can get the component correlators using

$$J = (\partial x^{\mu})J_{\mu} = \frac{1}{2}(J_1 - iJ_2), \quad \bar{J} = (\bar{\partial}x^{\mu})J_{\mu} = \frac{1}{2}(J_1 + iJ_2). \tag{13}$$

Then we find that

$$\langle J(z,\bar{z})J(w,\bar{w})\rangle = -\frac{k_J}{2}\frac{1}{(z-w)^2}.$$
 (14)

Setting  $\Delta = 1$ , we find in particular that

$$\langle J(z,\bar{z})J(w,\bar{w})\rangle = -\frac{k_J}{2(z-w)^2} \tag{15}$$

so we confirm that the correlator only depends on the holomorphic coordinates z, w. Likewise

$$\langle \bar{J}(z,\bar{z})\bar{J}(w,\bar{w})\rangle = -\frac{k_J}{2(\bar{z}-\bar{w})^2}, \quad \langle J(z,\bar{z})\bar{J}(w,\bar{w})\rangle = 0.$$
 (16)

Parity is automatically preserved in this way. Conversely, without parity invariance we could write the same 2-pt functions with different constants  $k_J$  in the  $\langle JJ \rangle$  and  $\langle \bar{J}\bar{J} \rangle$  correlators, and such correlators would be conformally invariant.

Likewise,

$$\langle \bar{J}(z,\bar{z})\bar{J}(w,\bar{w})\rangle = -\frac{k_J}{2} \frac{1}{(\bar{z}-\bar{w})^2}, \quad \langle J(z,\bar{z})\bar{J}(w,\bar{w})\rangle = 0.$$
 (17)

For the stress-energy tensor, we obtain

$$\langle T(z)T(w)\rangle = \frac{c/2}{(z-w)^4}, \quad \langle T(z)\bar{T}(w)\rangle = 0, \quad \langle \bar{T}(z)\bar{T}(w)\rangle = \frac{\bar{c}/2}{(\bar{z}-\bar{w})^4}.$$
 (18)

(c) It is trivial to show that the modes with labels  $m, n \in \{-1, 0, 1\}$  form a subalgebra. In particular, their commutator only gives modes in  $\{-1, 0, 1\}$ . It is a standard fact from complex analysis that the only conformal transformations of the extended complex plane  $\hat{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$  are

$$f(z) = \frac{az+b}{cz+d}, \quad ad-bc \neq 0.$$
(19)

The group of these transformations is called the Möbius or global conformal group, denoted by  $PSL(2,\mathbb{C})$ . It is generated by translations, rotations, dilations, and inversions:

$$f_{\rm tr}(z) = z + a, \quad f_{\rm rot}(z) = e^{i\theta}z, \quad f_{\rm dil}(z) = cz, \quad f_{\rm inv}(z) = \frac{1}{z}.$$
 (20)

The global conformal group enlarges the group of rigid transformations of  $\mathbb{C}$  (that is, translations and rotations) by adding scale transformations and mappings that turn the complex plane "inside out." An interesting fact is that  $PSL(2,\mathbb{C}) \cong SO(3,1)$ .

The global Virasoro generators have physical interpretations:

- $L_0 + \bar{L}_0$  generates dilatations and is the Hamiltonian.
- $L_0 \bar{L}_0$  generates rotations and is the angular momentum.
- $L_{-1}$  and  $\bar{L}_{-1}$  generate translations.
- $L_1$  and  $\bar{L}_1$  generate special conformal transformations.
- (d) Since the generator of translation is the energy-momentum tensor, we have

$$H = L_0 + \bar{L}_0, \tag{21}$$

which means that h and  $\bar{h}$  are the energies of the states.

Let  $|\psi\rangle \in \mathcal{H}_{CFT}$  be an eigenvector of  $L_0$  with weight h. Using the Virasoro algebra, we find that the state  $L_n|\psi\rangle$  is also an eigenvector of  $L_0$  with eigenvalue shifted by n:

$$L_0(L_n|\psi\rangle) = ([L_0, L_n] + L_n L_0)|\psi\rangle = (h - n)L_n|\psi\rangle. \tag{22}$$

Since  $L_n$  lowers the energy of a state by n, and the Hamiltonian is bounded from below, there must exist an  $L_0$  eigenstate  $|\psi\rangle$  that is annihilated by  $L_n$  for all  $n \geq 0$ . Any state  $|\psi\rangle \in \mathcal{H}_{CFT}$  for which

$$L_0|\psi\rangle = h|\psi\rangle, \quad L_n|\psi\rangle = 0 \quad \text{for all } n > 0$$
 (23)

is called a primary or highest weight state.

(e) At level 1, there is a single state  $L_{-1}|O\rangle$  with norm

$$\langle O|L_1L_{-1}|O\rangle = 2\langle O|L_0|O\rangle = 2h\langle O|O\rangle = 2h, \tag{24}$$

where we normalized the state to have norm 1. This implies that for unitarity, we need h > 0.

At level 2, there are two possible states:

$$|\psi_{1,1}\rangle = L_{-1}^2|O\rangle, \quad |\psi_2\rangle = L_{-2}|O\rangle.$$
 (25)

The  $2 \times 2$  Gram matrix at level 2 is

$$M^{(2)}(c,h) = \begin{pmatrix} \langle \psi_{1,1} | \psi_{1,1} \rangle & \langle \psi_{1,1} | \psi_{2} \rangle \\ \langle \psi_{2} | \psi_{1,1} \rangle & \langle \psi_{2} | \psi_{2} \rangle \end{pmatrix} = \begin{pmatrix} 4h(2h+1) & 6h \\ 6h & \frac{c}{2} + 4h \end{pmatrix}. \tag{26}$$

At level 3, we have

$$|\psi_1\rangle = L_{-1}|O\rangle,\tag{27}$$

with the  $3 \times 3$  Gram matrix

$$M^{(3)}(c,h) = \begin{pmatrix} \langle \psi_1 | \psi_1 \rangle & \langle \psi_1 | \psi_{1,1} \rangle & \langle \psi_1 | \psi_2 \rangle \\ \langle \psi_{1,1} | \psi_1 \rangle & \langle \psi_{1,1} | \psi_{1,1} \rangle & \langle \psi_{1,1} | \psi_2 \rangle \\ \langle \psi_2 | \psi_1 \rangle & \langle \psi_2 | \psi_{1,1} \rangle & \langle \psi_2 | \psi_2 \rangle \end{pmatrix} = \begin{pmatrix} 2h & 0 & 0 \\ 0 & 4h(2h+1) & 6h \\ 0 & 6h & \frac{1}{2}(c+8h) \end{pmatrix}.$$
(28)

This matrix should be positive definite. One can solve for its eigenvalues. You can read about it in Di Francesco page 207. The trace is

$$\operatorname{Tr}\left(M^{(3)}(c,h)\right) = \frac{c}{2} + 2h(5+4h) \to \frac{c}{2} \text{ when } h = 0$$
 (29)

and the determinant

$$\det(M^{(3)}(c,h)) = 4h(c+2h(c+8h-5)) \to 0 \text{ when } h = 0.$$
 (30)

The eigenvalues, with h = 0, are 0, 0, and c/2, such that we get c > 0 again. Null states are states for which norms are zero.

# 2. OPE and free scalars

(a) We can prove this result using the sampling property of the delta function. Let R be a closed domain in the complex plane. Then the divergence theorem in complex coordinates says that

$$\int_{R} d^{2}z(\partial v_{z} + \overline{\partial}v_{\overline{z}}) = i \oint_{\partial R} (v_{z}d\overline{z} - v_{\overline{z}}dz), \tag{31}$$

where  $v_{\alpha}$  is a vector field, and the contour  $\partial R$  is traversed anticlockwise.

Now let f(z) be a holomorphic test function and suppose that the region R encloses the origin.

$$\int_{R} d^{2}z \, \partial \overline{\partial} \ln |z|^{2} f(z) = \int_{R} d^{2}z \, \overline{\partial} \left( \frac{1}{z} f(z) \right) = -i \oint_{\partial R} \frac{dz}{z} f(z) = 2\pi f(0), \tag{32}$$

where we use the residue theorem in the final line.

Similarly, for an antiholomorphic test function  $f(\bar{z})$ ,

$$\int_{B} d^{2}z \, \partial \overline{\partial} \ln |z|^{2} f(\overline{z}) = i \oint_{\partial B} \frac{d\overline{z}}{\overline{z}} f(\overline{z}) = 2\pi f(0). \tag{33}$$

This means that

$$\partial \overline{\partial} \ln |z|^2 = 2\pi \delta(z, \overline{z}), \tag{34}$$

by the definition of the delta function.

(b) The free field  $X^{\mu}(z,\bar{z})$  satisfies the OPE

$$X^{\mu}(z,\bar{z})X^{\nu}(w,\bar{w}) \sim -\frac{\alpha'}{2}\eta^{\mu\nu} \ln|z-w|^2.$$
 (35)

Taking derivatives, this implies

$$\partial X^{\mu}(z)\partial X^{\nu}(w) \sim -\frac{\alpha'}{2}\eta^{\mu\nu}\frac{1}{(z-w)^2}.$$
 (36)

We can invert the mode expansion  $\partial X^{\mu}(z) = -i\sqrt{\frac{\alpha'}{2}}\sum_{n\in\mathbb{Z}}\alpha_n^{\mu}z^{-n-1}$  via

$$i\sqrt{\frac{2}{\alpha'}}\oint \frac{dz}{2\pi i}z^n \partial X^\mu = \oint \frac{dz}{2\pi i} \sum_m \alpha_m^\mu z^{n-m-1} = \sum_m \alpha_m^\mu \delta_{m,n} = \alpha_n^\mu.$$
 (37)

Then, we can use the OPE (which has implicit radial ordering) to find

$$\left[\alpha_m^{\mu}, \alpha_n^{\nu}\right] = -\frac{2}{\alpha'} \oint_{w=0} \frac{dw}{2\pi i} w^n \oint_{z=w} \frac{dz}{2\pi i} z^m R(\partial X^{\mu}(z) \partial X^{\nu}(w)), \tag{38}$$

$$= \oint_{w=0} \frac{dw}{2\pi i} w^n \oint_{z=w} \frac{dz}{2\pi i} \eta^{\mu\nu} \frac{z^m}{(z-w)^2},$$
 (39)

$$= \oint_{w=0} \frac{dw}{2\pi i} w^n \oint_{z=w} \frac{dz}{2\pi i} \eta^{\mu\nu} \frac{mz^{m-1}}{z-w}, \tag{40}$$

$$= \oint_{w=0} \frac{dw}{2\pi i} m w^{m+n-1} \eta^{\mu\nu}, \tag{41}$$

$$= m\eta^{\mu\nu}\delta_{m+n,0},\tag{42}$$

where we integrated by parts in the third line.

(c) The holomorphic stress tensor is

$$T(z) = -\frac{1}{\alpha'} : \partial X^{\mu} \partial X_{\mu} : (z),$$

and we will need the OPE

$$\partial X^{\mu}(z)X^{\nu}(w) \sim -\frac{\alpha'}{2}\eta^{\mu\nu}\frac{1}{z-w}.$$

Then we compute

$$T(z)X^{\mu}(w) = -\frac{1}{\alpha'} : \partial X^{\nu} \partial X_{\nu}(z) : X^{\mu}(w)$$
(43)

$$= -\frac{2}{\alpha'} : \partial X^{\nu} [\partial X_{\nu}(z) : X^{\mu}(w)] + \dots \tag{44}$$

$$= \frac{\partial X^{\mu}(z)}{z - w} + \dots = \frac{\partial X^{\mu}(w)}{z - w} + \dots \tag{45}$$

where the [...] denotes a resolution of the OPE.

To find the OPE with  $\partial^n X^{\mu}(w)$  we simply differentiate n times with respect to w. By the Leibniz rule,

$$T(z)\partial^{n}X^{\mu}(w) = \sum_{k=0}^{n} \frac{n!}{k!(n-k)!} \partial^{k+1}X^{\mu}(w) \partial_{w}^{n-k} \left(\frac{1}{z-w}\right) + \dots$$
 (46)

$$= \sum_{k=0}^{n} \frac{n!}{k!} \frac{\partial^{k+1} X^{\mu}(w)}{(z-w)^{n-k+1}} + \dots$$
 (47)

The second-order pole is at k = n - 1 and has coefficient  $n\partial^n X^{\mu}(w)$ , implying the conformal weight h = n. Since  $\partial^n X^{\mu}(w)$  is holomorphic, it is also clear that  $\bar{h} = 0$ . However, when n > 1, the operator is not primary since it contains poles of order greater than 2.

# 3. Weyl transformations are anomalous in d=2

(a) The Ricci scalar of the metric  $ds^2 = e^{2\sigma(z,\bar{z})}dzd\bar{z}$  is

$$R = -8e^{-2\sigma}\partial_z\partial_{\bar{z}}\sigma. (48)$$

Thus the Weyl anomaly condition gives

$$g^{\mu\nu}\langle T_{\mu\nu}\rangle = 2g^{z\bar{z}}\langle T_{z\bar{z}}\rangle = \frac{c}{24\pi} \left(-8e^{-2\sigma}\partial_z\partial_{\bar{z}}\sigma\right),$$
 (49)

which implies

$$\langle T_{z\bar{z}} \rangle = -\frac{c}{12\pi} \partial_z \partial_{\bar{z}} \sigma \,. \tag{50}$$

The conservation can be written as

$$g^{\mu\rho}\nabla_{\rho}\langle T_{\mu\nu}\rangle = 0 \tag{51}$$

To obtain an equation for  $\langle T_{zz} \rangle$ , choose  $\nu = z$ . This gives

$$g^{\bar{z}z}\nabla_z\langle T_{\bar{z}z}\rangle + g^{z\bar{z}}\nabla_{\bar{z}}\langle T_{zz}\rangle = 0$$
(52)

The only non-vanishing Christofell symbols are

$$\Gamma^{z}_{zz} = 2\partial_{z}\sigma \qquad \Gamma^{\bar{z}}_{\bar{z}\bar{z}} = 2\partial_{\bar{z}}\sigma.$$
 (53)

The conservation thus gives

$$\partial_{\bar{z}}\langle T_{zz}\rangle = -\partial_z \langle T_{z\bar{z}}\rangle + 2(\partial_z \sigma)\langle T_{z\bar{z}}\rangle = \frac{c}{12\pi}\partial_{\bar{z}}(\partial_z^2 \sigma - (\partial_z \sigma)^2)$$
 (54)

This gives the desired form

$$\langle T_{zz} \rangle = \frac{c}{12\pi} (\partial_z^2 \sigma - (\partial_z \sigma)^2).$$
 (55)

(b) The metric of a Euclidean cylinder  $M = \mathbb{R} \times S^1$  (with radius R = 1) is given by:

$$ds_{\text{cyl}}^2 = d\tau^2 + d\phi^2, \quad \tau \in \mathbb{R}, \ \phi \sim \phi + 2\pi.$$

Consider the map from flat space to M:

$$z \mapsto e^{\tau + i\phi}, \quad \bar{z} \mapsto e^{\tau - i\phi}.$$

The metrics relate as:

$$ds_{\text{flat}}^2 = dz d\bar{z} = (z\bar{z})(d\tau + id\phi)(d\tau - id\phi) = e^{2\tau} ds_{\text{cvl}}^2.$$

Thus,  $(g_{\rm cyl})_{\mu\nu} = e^{2\sigma} \delta_{\mu\nu}$  with  $\sigma = -\tau$ . In  $z, \bar{z}$  coordinates, this gives

$$\sigma = -\frac{1}{2}(\ln z + \ln \bar{z})\tag{56}$$

Thus,

$$\langle T_{zz} \rangle_{\text{cyl}} = \frac{c}{12\pi} \frac{1}{4z^2} \tag{57}$$

This is related to  $\tau$ ,  $\sigma$  coordinates by

$$\langle T_{zz}\rangle_{\text{cyl}} = \frac{1}{4z^2} (\langle T_{\tau\tau}\rangle_{\text{cyl}} - \langle T_{\sigma\sigma}\rangle_{\text{cyl}})$$
 (58)

The Weyl anomaly predicts:

$$\langle T^{\mu}_{\mu} \rangle_{M} = \frac{c}{24\pi} R[M], \tag{59}$$

where R[M] is the Ricci scalar. Since R[M] = 0 for the cylinder, it follows:

$$\langle T_{\tau\tau}\rangle_{\text{cyl}} + \langle T_{\phi\phi}\rangle_{\text{cyl}} = 0.$$
 (60)

Also note that due to the cylinder's isometries (translations in  $\tau$  and  $\phi$ ),  $\langle T_{\tau\phi} \rangle_M = 0$ . Combining (58) and (60) gives

$$\langle T_{\tau\tau} \rangle = \frac{c}{24\pi} \tag{61}$$

In real time, the metric becomes:

$$ds_{\text{cvl}}^2 = -dt^2 + d\phi^2, \quad t = i\tau.$$

The vacuum energy is:

$$H_{\text{vac}} = \int_{\Sigma} \langle T_{tt} \rangle_M = \int_0^{2\pi} d\phi \left( -\frac{c}{24\pi} \right) = -\frac{c}{12}.$$

In fact, in this context it is more standard to use the Weyl anomaly  $\langle T_{\mu}^{\mu} \rangle = \frac{c}{12}R$ , whereas we used  $\langle T_{\mu}^{\mu} \rangle = \frac{c}{24\pi}R$ . In the convention where  $\langle T_{\mu}^{\mu} \rangle = \frac{c}{12}R$ , we would need to multiply  $\langle T_{zz} \rangle$  by a factor  $2\pi$ . This gives

$$H_{\rm vac} \to -\frac{\pi c}{6}$$
 (62)

For a cylinder with radius R, this becomes  $H = -\frac{\pi c}{6R}$ . Thus, c can be measured by the Casimir energy of a critical Hamiltonian on the cylinder.

(c) For a general coordinate transformation  $z\mapsto w=f(z,\bar{z}),\ \bar{z}\mapsto \bar{w}=\bar{f}(z,\bar{z}),$  the metric components transform as:

$$g_{ww} = \frac{\partial z}{\partial w} \frac{\partial \bar{z}}{\partial w}, \quad g_{\bar{w}\bar{w}} = \frac{\partial z}{\partial \bar{w}} \frac{\partial \bar{z}}{\partial \bar{w}}, \quad g_{w\bar{w}} = \frac{\partial z}{\partial w} \frac{\partial \bar{z}}{\partial \bar{w}}.$$

To remain Weyl flat,  $g_{ww}=g_{\bar{w}\bar{w}}=0$ , which requires:

$$\frac{\partial \bar{z}}{\partial w} = \frac{\partial z}{\partial \bar{w}} = 0, \quad \frac{\partial z}{\partial w}, \frac{\partial \bar{z}}{\partial \bar{w}} \neq 0.$$

This implies the transformations  $z=f(w), \bar{z}=\bar{f}(\bar{w}),$  leading to:

$$ds^2 = \frac{\partial z}{\partial w} \frac{\partial \bar{z}}{\partial \bar{w}} dw d\bar{w}.$$

The Weyl factor is:

$$\sigma = \frac{1}{2} \ln \left( \frac{\partial w}{\partial z} \frac{\partial \bar{w}}{\partial \bar{z}} \right).$$

For this scale factor:

$$\partial_z^2 \sigma - (\partial_z \sigma)^2 = \frac{1}{2} \frac{w^{(3)}(z)}{w'(z)} - \frac{3}{4} \left(\frac{w''(z)}{w'(z)}\right)^2 = \frac{1}{2} \{w, z\},\,$$

where  $\{w,z\}$  is the Schwarzian derivative. Thus:

$$\langle T \rangle = \frac{c}{24\pi} \{ w, z \}.$$

Including this anomalous term recovers the full transformation law.